

# CAROL BAVOUCETT MATTICK

## CONTACT INFORMATION

### CAROL BAVOUCETT MATTICK, PLLC

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721 Golfcrest Dr.  
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## THE BASICS

### EDUCATION:

#### **University of Texas at Austin, Austin, Texas 1984**

- Doctor of Jurisprudence degree,
- Masters in Business Administration degree
- Completed joint degree program with 136 semester hours, 12 hours less than completing them separately

#### **University of Denver, Denver, Colorado, 1979**

- Bachelor of Arts degree in Speech Communication, Minors in Economics and French
- Phi Beta Kappa
- University Honors Scholar
- Outstanding Junior Woman, Outstanding Senior Woman awards

#### **Friona High School, Friona, Texas 1975**

- High School Diploma
- Valedictorian of Class

### WORK EXPERIENCE:

#### **Carol Bavousett Mattick, PLLC, Counselor at Law. 7/01 – Present**

- Professional LLC providing corporate and securities counsel to growing companies
- Areas of Specialty: business transactions and securities regulation, including investment funds and their investment advisors, equity offerings and sales of businesses.

- Client Base: Privately owned, growth-oriented businesses

**Partner, Mattick/Morrill, LLP, 7/99 - 7/01**

- Partnership with Frank S. Morrill to offer corporate, securities and in-house legal counsel services to high growth companies.

**Carol Bavousett Mattick, Counselor at Law, 1/89 - 6/99**

- Sole practitioner
- Areas of Specialty: business transactions and securities regulation
- Client Base: Privately owned, growth-oriented businesses, primarily technology-based companies

**Associate, Martin, Shannon & Drought, Inc., 1/85 -- 12/88**

- Associate lawyer for the firm
- Worked with a partner who had had 15 years experience with the U.S. Securities and Exchange Commission

**TEACHING:**

**Texas A&M University – San Antonio, 2022- 2023**

- Experience in teaching many of the fundamental courses in the undergraduate business degree, including Business Ethics, Business Principles, Business Communications and Business Law.
- Experience in teaching synchronously and asynchronously, both in short term and semester long courses during the regular academic year and summer session.
- Currently enrolled in the ACUE course “Effective Online Teaching Practices”

**University of Texas at Austin, IC<sup>2</sup> Institute, 2001 – 2006**

- Adjunct Lecturer, MS in Science and Technology Commercialization (“MSSTC”), 2001-2006
- General corporate, employment, securities and acquisitions topics within a one semester class involving legal issues in commercializing technology, Spring 2001.
- Worked with educational course design firm Cenquest, Inc. to put lecture materials in interactive CD form for licensing to other educational institutions.
- Guest lectures in other MSSTC courses, 2001- 2006.

**UT Law CLE Programs, 1995 – 2016, 2020**

- Speaker at and Chair or committee member of multiple continuing legal education (“CLE”) program planning committees in Securities and Business Law Problems, Law Firm Management programs.

- Speaker in online Studio video programs, including Contract Drafting

**Texas Bar CLE Programs, 2005 - 2023**

- Speaker at and Chair or committee member of multiple continuing legal education (“CLE”) program planning committees in Advanced Business Law and Essentials of Business Law programs

**UT Law CLE, Private Companies: Tools to Make Them Thrive, January 2005, January 2006**

- Co-Chair, Planning committee, UT Austin School of Law Continuing Legal Education (“CLE”) program for legal counsel, CPAs and other advisors working with private companies.
- Speaker and prepared paper, Anatomy of a Contract.

**University of Texas at San Antonio. 1998**

- Instructor, Business Foundations program for MS in Management of Technology. Teaching of one-day legal issues class.

PROFESSIONAL  
AFFILIATIONS AND  
ACTIVITIES:

**State Bar of Texas; Member, Section on Business Law 1985 - Present; Chair Elect, Business Law Section, State Bar of Texas 2023-2024**

**Member, Securities Law Committee, Business Law Section, State Bar of Texas. 1993-Present. Chair 2005 - 2014.**

- This committee works with state regulators to harmonize state law with federal securities law, draft state legislation, and provide input on enforcement of statutes and regulations relating to offerings, broker-dealer registration and related issues. As chair of the committee and worked on a task force to draft new rules exempting intermediaries in venture capital and other private financing transactions from broker-dealer and investment advisor registration.

**Chair, Website Committee, Business Law Section, State Bar of Texas 2014 – 2023.**

- This committee designed and supervised web development for the Business Law Section’s website as a medium to provide benefits to 5,000 members of the Section.
- Currently working on content development for the website, [www.texasbusinesslaw.org](http://www.texasbusinesslaw.org).

**Member, Geekdom, 2012 – Present**

- Member of co-working and small technology business development organization founded by Graham Weston

**Member, Austin Technology Council 1999 - 2001**

## **Member, Phi Beta Kappa Society**

### COMMUNITY ACTIVITIES:

CBM, Pg 4

#### CURRENT:

##### **San Antonio Area Council of Girl Scouts**

- Committee advises the council on cash-flow projections, long term financial and capital improvement planning and other financial matters.
- Member, Finance Committee, 1992 - Present
- Member, investment Sub-Committee, 2005 - Present
- Work with the San Antonio Area Council of Girl Scouts to identify and price a much-needed capital improvements program for its camps and service center. Through work on the finance committee, I helped the organization identify new potential sources of revenues and strategies for maximizing current sources of revenue such as cookie sales.
- Work with investment sub-committee to choose investment advisors for the Council's endowment and monitor the advisors' performance

#### PAST:

##### **San Antonio Technology Accelerator Initiative**

- Member, Board of Directors, San Antonio Technology Accelerator Initiative ("SATAI") coming out of the City of San Antonio's efforts in promoting technology industry clusters in the San Antonio Community. 1999 - 2005.
- Member, Executive Committee 1999 - 2003.
- The pre-cursor Stewards Committee presided over an inventory of tech assets, meetings of businesses in four tech industry clusters and creation of action plans for development of those industry clusters. Going forward, the Stewards Committee and Board of Directors has created a permanent entity to coordinate the development efforts going forward and utilize over \$2.05 million in matching funds provided by the City of San Antonio for this effort.

##### **National Kidney Foundation of South and Central Texas**

- Charitable organization dedicated to preventing kidney disease, helping patients with kidney disease or in need of transplant as well as their families and promoting organ donation. This affiliate serves 45 counties in Texas and several cities including Temple, Austin, San Antonio and Laredo.
- Volunteer and Board Member, 1998 – 2003
- Chairman of the Board, 2000-2001; Executive Committee, 2000-2003

- Chairman of the Board, Endowment Fund. 2000 – 2003
- As a member of the board and executive committee and chairman of the National Kidney Foundation of South and Central Texas, Inc. I have worked with transplant centers at area hospitals and the Texas Organ Sharing Agency to support transplant patients; with The Vital Alliance to disseminate information about kidney disease and organ donation at health fairs; and with the Texas Diabetes Institute to provide free early evaluation for kidney disease.
- Reorganized the governance structure of the National Kidney Foundation of South & Central Texas to allow the CEO to run the organization and the board to provide policy making direction rather than management of the organization.

**Business of Science / Science of Business Seminars**

- Co-Chair, with Dr. Mary Pat Moyer, of the Business of Science / Science of Business conferences in San Antonio in 1987 and 1988. The purpose of the conferences was to educate the San Antonio business community about technology and science-based business and to educate the scientific research community about formation of businesses based on their research. The conferences included a case study of Genentech, Inc. the kinds of expertise needed to build a company like that and how they interact as well as a forum on proprietary information and the intellectual property policies of the research institutions and federal government facilities in San Antonio.